TRANSMITTAL LETTER (General - Patent Pending)

Docket No. **DI-5373 CON**

Naggi et al.

| Serial | No. |
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| 00/000 | 105 |

Filing Date

Examiner

Group Art Unit

09/882,187

June 15, 2001

J. Young

1623

Title: PERITONEAL DIALYSIS SOLUTION CONTAINING MODIFIED ICODEXTRINS

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TECH CENTER 1600/2900

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal of Information Disclosure Statement (duplicate); Supplemental Information Disclosure Statement (2 pgs.); PTO Form 1449; Six references; check in the amount of \$180.00; and return receipt postcard.

in the above identified application.

- No additional fee is required.
- A check in the amount of

is attached.

The Director is hereby authorized to charge and credit Deposit Account No.

02-1818

- as described below.
 - Charge the amount of \boxtimes Credit any overpayment.
 - \mathbf{X} Charge any additional fee required.

Dated: August 1, 2003

Robert M. Barrett

Reg. No. 30,142

BELL, BOYD & LLOYD LLC

P.O. Box 1135

Chicago, IL 60690-1135 Phone: 312-807-4204

document and fee is being deposited certify that this on08-1/2003 the U.S. Postal Service as first glass ma R. 1.8 and is addressed to the Q. Box 1450, Alexandria, VA Commission

e of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Correspondence

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| 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | | | |
| 37 CFR 1.97(c) | | | | | | |
| 2. A The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | | |
| ☐ the statement specified in 37 CFR 1.97(e); | | | | | | |
| OR | | | | | | |
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) **DI-5373 CON** In Re Application Group Art Unit Filing Date Examiner Serial No. 09/882,187 June 15, 2001 1623 J. Young PERITONEAL DIALYSIS SOLUTION CONTAINING MODIFIED ICODEXTRINS RECEIVED AUG 0 6 2003 Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of \$180.00 is attached. The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. Charge the amount of Credit any overpayment. X Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and fee is being deposited I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States with the U.S. Postal Service Patent and Trademark Office (F: under 37 C.F.R. 1.8 and is missioner for Patents, P.O. Box 22313-1450. (Date) Signature of Person Mailing Correspondence Signature Robert J. Buccieri Typed or Printed Name of Person Mailing Certificate Typed or Printed Name of Person Signing Certificate *This certificate may only be used if paying by deposit account. Dated: August 1, 2003 Signature Robert M. Barrett Reg. No. 30,142 **BELL, BOYD & LLOYD LLC** P.O. Box 1135 Chicago, IL 60690-1135 Phone: 312-807-4204 cc:

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | | Docket No. DI-5373 CON | |
|--|-----------------------------|----------------------------|---|-----|---------------------------|--|
| In Re Application Or. Naggiet at. | | | | | | |
| | Serial No. 2007 809/882,187 | Filing Date June 15, 2001 | Examiner J. Young | , | Group Art Unit 1623 | |
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| - | | Commiss P.C | ddress to: sioner for Patents D. Box 1450 a, VA 22313-1450 | | TECH CENTER 1600/290 | |
| | | 37 0 | FR 1.97(b) | | | |
| 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | | | |
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| the statement specified in 37 CFR 1.97(e); | | | | | | |
| OR | | | | | | |
| | ☑ the fee se | t forth in 37 CFR 1.17(ρ). | | | | |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Naggi et al. Appl. No.: 09/882,187

Conf. No.: 8866

Filed: June 15, 2001

Title: PERITONEAL DIALYSIS SOLUTION CONTAINING MODIFIED

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Art Unit: 1623
Examiner: J. Young
Docket No.: DI-5373 CON

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

OTHER DOCUMENTS

- Mistry et al., A randomized multicenter clinical trial comparing isosmolar icodextrin with hyperosmolar glucose solutions in CAPD. MIDAS Study Group. Multicenter Investigation of Icodextrin in Ambulatory Peritoneal Dialysis, Kidney Int., August 1994, 46(2) pp. 496-503.
- Peers et al., *Icodextrin: overview of clinical experience*, Perit. Dial. Int., Jan.-Feb. 1997, 17(1) pp. 22-26.
- Posthuma et al., Icodextrin instead of glucose during the daytime dwell in CCPD increases ultrafiltration and 24-h dialysate creatinine clearance, Nephrol. Dial. Transplant, Mar. 1997, 12(3) pp. 550-3.
- Peers et al., Icodextrin provides long dwell peritoneal dialysis and maintenance of intraperitoneal volume, Artif. Organs, January 1998, 22(1) pp. 8-12.
- Krediet et al., *Icodextrin's effects on peritoneal transport*, Perit. Dial. Int., Jan.-Feb. 1997, 17(1) pp. 35-41.
- Posthuma et al., Icodextrin use in CCPD patients during peritonitis: ultrafiltration and serum disaccharide concentrations, Nephrol. Dial. Transplant, Sep. 1998, 13(9) pp. 2341-4.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Y ____

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Dated: August 1, 2003

UPPLEMENTAL INFORMATION DISCLOSURE CITATION IN AN APPLICATION

| SUPPLEMEN' | PLEMENTAL INFORMATION DISCLOSURE | | Atty Docket DI- | No. 5373 CON | Application No. 09/882,187 | |
|--|----------------------------------|---------------------|------------------|-----------------|----------------------------|----------------------------|
| CITATION IN AN APPLICATION (Use several sheets if necessary) | | Applicant | Naggi e | | ECH | |
| 3 | PTO Form 1449 | | Filing Date June | e 15, 2001 | Group 162 | 3 |
| | | Ú.S. PATEN | T DOCUMENT | S | | Filing Date |
| Examiner's Initials | Document Number | Publication Date | Inventor | Class | Subclass | Filing Date If Appropriate |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------|-------------|---------|-------|--------------|-------------|----|
| Examiner's | Document | Publication | | | | Translation | |
| Initials | Number | Date | Country | Class | Subclass | Yes | No |
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| Examiner's Initials | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | |
|------------------------|---|--|--|--|--|
| 111111111 | Mistry et al., A randomized multicenter clinical trial comparing isosmolar | | | | |
| | icodextrin with hyperosmolar glucose solutions in CAPD. MIDAS | | | | |
| | Study Group. Multicenter Investigation of Icodextrin in Ambulatory | | | | |
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| | Peers et al., Icodextrin: overview of clinical experience, Perit. Dial. Int., Jan | | | | |
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| | Posthuma et al., Icodextrin instead of glucose during the daytime dwell in | | | | |
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| | Krediet et al., Icodextrin's effects on peritoneal transport, Perit. Dial. Int., Jan. | | | | |
| | Feb. 1997, 17(1) pp. 35-41. | | | | |
| | Posthuma et al., Icodextrin use in CCPD patients during peritonitis: | | | | |
| | ultrafiltration and serum disaccharide concentrations, Nephrol. Dial. | | | | |
| | Transplant, Sep. 1998, 13(9) pp. 2341-4. | | | | |

| Examiner: | Date Considered: |
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| *Examiner: Initial if citation considered, whether or not | citation is in conformance with MPEP Section 609; |
| Draw line through citation if not in conformance and no | ot considered. Include copy of this form with next |

communication to applicant.